01-07-04

Application No. (if known): 10/644,587

Attor Docket No.: 05432/100M919-US4



Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as in an envelope addressed to: Express Mail, Airbill No.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

January 5, 2004 Date

Typed or printed name of person signing Certificate

Each paper must have its own certificate of mailing, or this certificate must identify Note: each submitted paper.

IDS (Citation) by Applicant W41 REFERENCES Preliminary Amendment (5 pages)





EXPRESS MAIL CERTIFICATE

Date	Label No.		1
hereby certify that, on the date	indicated above, this paper	or	fee was
deposited with the U.S. Postal Se	ervice & that it was addressed	for	delivery
to the Commissioner for Patents	s, PO Box 1450, Alexandria,	VA	22313-
1450 by "Express Mail Post Office	e to Addressee" service.		

Name (Print)

Signature

Customer No.: 07278

Docket No.: 05432/100M919-US4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Connie Sanchez, et al.

Serial No.:

10/644,587

Art Unit:

1614

Filed: August 20, 2003

Examiner: Not Yet Assigned

For:

THE USE OF ENANTIOMERIC PURE ESCITALOPRAM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of form PTO/SB/08 and a copies of the documents listed thereon. The U.S. patents cited in the attached form PTO/SB/08 are not supplied pursuant to a notice of waiver of the requirement under 37 CFR 1.98(a)(2)(i) by the U.S. Patent and Trademark Office dated June 30, 2003.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing form PTO/SB/08 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for

any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

This submission is filed before the mailing date of a first Office action on the merits. It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 04-0100 for any deficiency up to \$2500.00.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Jay P. Lessler

Registration No. 41,151 Attorney for Applicant(s)

DARBY & DARBY Post Office Box 5257 New York, NY 10150-5257 (212) 527-7700



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE servork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	Substitute for form 1449A/B/PTO			Complete if Known		
0200				Application Number	10/644,587	
INF	ORMATIO	N DIS	CLOSURE	Filing Date	August 20, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Connie Sanchez	
				Art Unit	N/A	
	(Use as many s	sheets as n	ecessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	3	Attorney Docket Number	05432/100M919-US4	

			U.S. PA	TENT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	1.	US-6,469,064 B2	10-22-2002	Druzgala		
	2.	US-6,333,357 B1	12-25-2001	Eig		
	3.	US-6,159,971	12-12-2000	Berg et al.		
	4.	US-6,147,072	11-14-2000	Bymaster et al.		
Ü	5.	US-6,069,177	05-30-2000	Carlier et al.		
	6.	US-5,962,514	10-05-1999	Evenden et al.		
	7.	US-5,958,429	09-28-1999	Wong		
	8.	US-5,788,986	08-04-1998	Dodman		
	9.	US-5,648,396	07-15-1997	Young et al.		
	10.	US-5,296,507	03-22-1994	Tanaka et al.		
	11.	US-5,114,976	05-19-1992	Norden		
	12.	US-4,962,128	10-09-1990	Doogen et al.		
	13.	US-4,943,590	07-24-1990	Boegesoe et al.		
	14.	US-4,902,710	02-20-1990	Foster et al.		
	15.	US-4,650,884	01-23-1979	Bogeso et al.	• •	
	16.	US-4,136,193	01-23-1979	Bogeso et al.		
	17.	US-3,467,675	09-16-1969	Peterson et al.		
	18.	US-Re. 34712	08-30-1994	Boegesoe et al.		

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
	19.	EP-0 347 066 A1	12-20-1989	H. Lundbeck A/S		
	20.	WO-01/03694 A1	01-18-2001	H. Lundbeck A/S		
	21.	WO-00/15220	03-23-2000	Astra Aktiebolag		
	22.	WO-01/54681 A2	08-02-2001	Massachusetts Institute of Technology		
	23.	WO-00/15219	03-23-2000	Astra Aktiebolag		
	24.	WO-00/03701	01-27-2000	Massachusetts Institute of Technology		
	25.	WO-00/02551	01-20-2000	NPS Pharmaceutical, Inc.		
	26.	EP-0759299	02-26-1997	Eli Lilly and Company		
	27.	EP-0714663 A2	06-05-1996	Eli Lilly and Company		
	28.	WO-93/09769	05-27-1993	Sepracor, Inc.		
	29.	WO-92/18005	10-29-1992	National Institutes of Health		П
	30.	WO-00/34263	06-15-2000	H. Lundbeck A/S		
	31.	EP-0474580	03-11-1992	H. Lundbeck A/S		

{W:\054	Date
32/100	Considered

JAN 0 5 2004 DE STATE OF THE PERSON WORK REDU

PTC/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	estitute for form 1449A/B/PT	0		Complete if Known		
000				Application Number	10/644,587	
11	IFORMATION	J DI	SCLOSURE	Filing Date	August 20, 2003	
S	TATEMENT B	3Y A	APPLICANT	First Named Inventor	Connie Sanchez	
				Art Unit	N/A	
	(Use as many she	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	05432/100M919-US4	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	32.	Kornstein, S.C. and Schneider, R.K., Clinical features of treatment-resistant depression, J. Clin. Psychiatr 2001, 62 Suppl 16, 18-25	
	33.	Nierenberg, A.A and DeCecco, L.M., Definitions of antidepressant treatment response, remission, non-response, partial response, and other relevant outcomes: A focus on treatment-resistant depression, J. Clin. Psychiatr 2001, 62 Suppl 16, 5-9	
	34.	Sackeim, H.A., The definition and meaning of treatment-resistant depression, J. Clin. Psychiatr 2001, 62 Suppl 16, 10-17	
	35.	Willner, P., Psychopharmacology, 1997, 134, 319-329	
	36.	A.F. Joubert et al., "Citalopram and Anixety Disorders," Rev. Contemp. Pharmacither 10: 79-131 (1999)	
	37.	Ulla M. Lepola et al., "A Controlled Perspective, 1 - Year Trial of Citalopram in the Treatment of Panic Disorder," J. CLin. Psychiatry 59, 10: 528-534 (1998)	
	38.	H. Koponen et al., "Citalopram in the treatment of obsessive-compulsive disorder: an open pilot study," Acta Psychiatrica Scandinavica 96:343-346 (1997)	
	39.	Dan J. Stein et al., "Use of serotonin selective reuptake inhibitor citalopram in obsessive-compulsive disorder," Journal of Serotonin Research 1: 29-33 (1996)	
	40.	Bigler, Allan et al., "Quantitative Struacture-activity Relationships in a Series of Selective 5-HT uptake inhibitors," Eur. J. Med. Chem. 3:289-295 (1997)	
	41.	Soraya Seedat et al., "Open Trial of Citalopram in adults with post-tramatic stress disorder," International Journal of Neuropsychopharmacology 3: 135-140 (2000)	
	42.	Ihoko Muraki et al., "Effect of subchronic lithium carbonate treatment on anxiolytic-like effect of citalopram and MKC-242 in conditioned fear stress in the rat," European Journal of Pharmacology 383:223-229 (1999)	
	43.	Jennifer Y. Tan et al, "Citalopram in the Treatment of Depression and Other Potential Uses in Psychiatry," Pharmacotherapy 19, 6: 675-689 (1999)	
	44.	Mario Amore et al., "Short-term and Long-term evaluation of Selective Serotonin Reuptake Inhibitors in the Treatment of Panic Disorder: Florxetine vs. Citalopram," Hum. Psychopharmacol. Clin. Exp. 14: 435-440 (1999)	
	45.	Emanuela Mundo, M.D. et al., "Efficacy of Fluvoxamine, Paroxetine, and Citalopram in the Treatment of Obsessive-Compulsive Disorder: A Single-Blind Study," Journal of Clinical Psychopharmacology 17, 4: 267-271 (August 1997)	
	46.	Zoltan Rihmer, "Successful treatment of salbutamol-induced panic disorder with citalopram," European Neuropsychopharmacology 7:241-242 (1997)	
	47.	Takeshi Inoue et al., "Effect of italopram, a selective serotonin reuptake inhibitor, on the acquisition of conditioned freezing," European Journal of Pharmacology 311: 1-6 (1996)	

{W:\054	Date	
32\100	Considered	



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE betwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	Substitute for form 1449A/B/PTO			Complete if Known		
Oub	3 mate 101 101111 1 1 4 4 5 7 5 7 1	. •		Application Number	10/644,587	
11	IFORMATION	M DI	SCLOSURE	Filing Date	August 20, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Connie Sanchez	
				Art Unit	N/A	
	(Use as many sh	ieets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	05432/100M919-US4	

48.	U. Lepola et al., "Citalopram in the Treatment of Early-onset Panic Disorder and School
	Phobia," Pharmacopsychiatry 29: 30-32 (January 1996)
 49.	J. Hyttel et al., "The Pharmacology of Citalopram," Rev. Contemp. Pharmacother. 6: 271-285 (1995)
 50.	American Psychiatric Associations, "DSM-IV" (Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition): 393-444 (1994)
51.	Hytell, J., Prog. Neuro-Psychopharmocol. & Biol. Psychiat., 1982, 6, 277-295
52.	Gravem, A., Acta Psychiatr. Scand., 1987, 75, 478-486
53.	Sanchez, C., Effect of serotonergic drugs on footshock-induced ultrasonice vocalization in adult male rats, Behav. Pharmacol. 1993; 4:267-277
54.	Sanchez, C., Pharmacol. Toxicol. 77, 71-78 (1995)
55.	Sanchez, C., R-citalopram attenuates anxiolytic effects of escitalopram in a rat ultrasonic vocalisation model, European Journal of Pharmacology, 464, 2003, pp.155-158
56.	Montgomery, S. et al., Escitalopram (S-Enantiomer of Citalopram): Clinical Efficacy and Onset of Action Predicted from a Rat Model, Pharmacology & Toxicology, 2001, 88, 282-286
57.	Sanchez, C., Stress-induced vocalisation in adult animals: A valid model of anxiety?, European Journal of Pharmacology, 463 (2003) 133-143
58.	Burke, W., et al., Fixed-DoseTrial of the Single Isomer SSRI Escitalopram in Depressed Outpatients, J. Clin Psychiatry, 63:4, April 2002
59.	Wade, A., et al., Escitalopram 10 mg/day is effective and well tolerated in a placebo-controlled study in depression in primary care, International Clinical Psychopharmacology 2002, Vol. 17 No. 3

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

{W:\054	Date
32\100	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.